

**New York State Partnership Plan
Projected 1115 Waiver Budget Neutrality Impact**

Waiver Extension Reflects 11.5% PMPM W/O Waiver Trend, 11.5% PMPM With Waiver Trend for FFS eligibles and 8.5% trend for HMO enrollees.

Without Waiver Expenditures

	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03 (half year)	Current Estimated Waiver Total	DY 02-03 (half year - ext)	DY 03-04	DY 04-05	DY 05-06	Current Estimated Full Waiver Total
ADC Cap	\$4,541,350,786	\$4,757,458,212	\$5,216,736,384	\$6,038,224,263	\$6,358,010,645	\$3,447,468,662	\$30,359,248,952	\$3,545,301,274	\$7,906,021,841	\$8,815,214,353	\$4,914,482,002	\$55,540,268,422
ADC HR Conversions	<u>\$59,202,251</u>	<u>\$48,974,736</u>	<u>\$40,751,614</u>	<u>\$27,957,857</u>	<u>\$24,411,206</u>	<u>\$12,357,141</u>	<u>\$213,654,803</u>	<u>\$12,357,141</u>	<u>\$26,743,432</u>	<u>\$27,135,741</u>	<u>\$13,764,352</u>	<u>\$293,655,469</u>
ADC Cap Including HR Conv.	\$4,600,553,037	\$4,806,432,947	\$5,257,487,998	\$6,066,182,119	\$6,382,421,851	\$3,459,825,803	\$30,572,903,756	\$3,557,658,415	\$7,932,765,273	\$8,842,350,094	\$4,928,246,354	\$55,833,923,891
SSI Cap w/o HR Conversions	\$0	\$0	\$0	\$5,346,007,857	\$6,001,544,397	\$3,164,688,607	\$14,512,240,861	\$3,347,849,232	\$7,465,703,788	\$8,324,259,723	\$4,640,774,796	\$38,290,828,399
SSI HR Conversions	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$371,223,878</u>	<u>\$314,583,969</u>	<u>\$148,930,977</u>	<u>\$834,738,824</u>	<u>\$148,930,977</u>	<u>\$316,091,544</u>	<u>\$316,831,864</u>	<u>\$158,777,801</u>	<u>\$1,775,371,010</u>
SSI Cap Including HR Conv.	\$0	\$0	\$0	\$5,717,231,735	\$6,316,128,366	\$3,313,619,584	\$15,346,979,685	\$3,496,780,209	\$7,781,795,331	\$8,641,091,587	\$4,799,552,597	\$40,066,199,409
BBA DSH Cap	\$3,024,000,000	\$2,964,000,000	\$2,871,900,000	\$2,972,000,000	\$3,049,300,000	\$1,318,400,000	\$16,199,600,000	\$1,318,400,000	\$2,705,400,000	\$2,775,700,000	\$1,423,900,000	\$24,423,000,000
CHPlus PMPM Cap	\$250,260,000	\$0	\$0	\$0	\$0	\$0	\$250,260,000	\$0	\$0	\$0	\$0	\$250,260,000
FHP Adults w/Children Cap	\$0	\$0	\$0	\$0	\$87,046,920	\$173,124,250	\$260,171,170	\$218,787,407	\$583,814,148	\$778,073,083	\$454,823,034	\$2,295,668,842
W/O Waiver Total	\$7,874,813,037	\$7,770,432,947	\$8,129,387,998	\$14,755,413,854	\$15,834,897,137	\$8,264,969,636	\$62,629,914,610	\$8,591,626,031	\$19,003,774,752	\$21,037,214,764	\$11,606,521,985	\$122,869,052,142

With Waiver Expenditures

	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03 (half year)	Current Estimated Waiver Total	DY 02-03 (half year - ext)	DY 03-04	DY 04-05	DY 05-06	Current Estimated Waiver Total
ADC W/O Conversions	\$3,699,277,633	\$3,906,569,492	\$3,910,480,339	\$4,354,690,107	\$4,476,751,176	\$2,410,915,819	\$22,758,684,565	\$2,427,411,194	\$5,309,062,160	\$5,769,298,714	\$3,133,456,475	\$39,397,913,109
ADC HR Conversions	<u>\$46,750,557</u>	<u>\$40,400,196</u>	<u>\$33,701,898</u>	<u>\$23,691,209</u>	<u>\$20,577,917</u>	<u>\$10,288,959</u>	<u>\$175,410,736</u>	<u>\$10,288,959</u>	<u>\$20,577,917</u>	<u>\$20,577,917</u>	<u>\$10,288,959</u>	<u>\$237,144,488</u>
ADC Including Conversions	\$3,746,028,190	\$3,946,969,688	\$3,944,182,236	\$4,378,381,316	\$4,497,329,093	\$2,421,204,778	\$22,934,095,301	\$2,437,700,153	\$5,329,640,078	\$5,789,876,631	\$3,143,745,434	\$39,635,057,597
SSI W/O Conversions	\$0	\$0	\$0	\$4,802,435,215	\$5,545,879,160	\$3,096,554,768	\$13,444,869,143	\$3,117,741,254	\$6,936,970,279	\$7,652,436,334	\$4,218,728,062	\$35,370,745,071
SSI HR Conversions	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$321,696,649</u>	<u>\$279,422,083</u>	<u>\$139,711,041</u>	<u>\$740,829,773</u>	<u>\$139,711,041</u>	<u>\$279,422,083</u>	<u>\$279,422,083</u>	<u>\$139,711,041</u>	<u>\$1,579,096,021</u>
SSI Including Conversions	\$0	\$0	\$0	\$5,124,131,863	\$5,825,301,243	\$3,236,265,809	\$14,185,698,915	\$3,257,452,295	\$7,216,392,361	\$7,931,858,417	\$4,358,439,103	\$36,949,841,092
HR Total	\$1,809,293,612	\$1,951,491,026	\$2,034,054,082	\$2,121,134,289	\$2,182,369,833	\$1,079,848,573	\$11,178,191,414	\$1,087,236,847	\$2,377,959,991	\$2,604,927,843	\$1,426,208,978	\$18,674,525,073
Non HR DSH	\$1,860,500,000	\$1,978,000,000	\$2,233,300,000	\$2,386,000,000	\$2,491,700,000	\$1,027,800,000	\$11,977,300,000	\$909,800,000	\$2,468,200,000	\$2,624,000,000	\$1,423,900,000	\$19,403,200,000
Recov & Rebates	(72,032,476)	(109,701,586)	(125,382,974)	(357,004,582)	(357,004,582)	(178,502,291)	(1,199,628,491)	(178,502,291)	(357,004,582)	(357,004,582)	(178,502,291)	(2,270,642,237)
FHP Adults w/Children	\$0	\$0	\$0	\$0	\$82,931,799	\$184,118,513	\$267,050,311	\$185,378,243	\$534,880,848	\$707,156,082	\$409,840,882	\$2,104,306,367
FHP Adults w/o Children	\$0	\$0	\$0	\$0	\$58,075,789	\$156,407,679	\$214,483,468	\$157,477,813	\$542,641,897	\$605,002,841	\$334,429,333	\$1,854,035,352
CHCCDP Program	\$500,000,000	\$500,000,000	\$500,000,000	\$500,000,000	\$500,000,000	\$0	\$2,500,000,000	\$500,000,000	\$1,000,000,000	\$1,000,000,000	\$500,000,000	\$5,500,000,000
With Waiver Total	\$7,843,789,326	\$8,266,759,127	\$8,586,153,344	\$14,152,642,886	\$15,280,703,174	\$7,927,143,060	\$62,057,190,918	\$8,356,543,060	\$19,112,710,593	\$20,905,817,232	\$11,418,061,440	\$121,850,323,243
HR Conversions	\$508,010,450	\$588,058,561	\$491,331,030	\$345,387,858	\$300,000,000	\$150,000,000	\$2,382,787,899	\$150,000,000	\$300,000,000	\$300,000,000	\$150,000,000	\$3,282,787,899
Non DSH UPL Program Expenditures	\$0	\$0	\$0	\$0	\$343,200,000	\$372,500,000	\$715,700,000	\$152,000,000	\$0	\$0	\$0	\$867,700,000
Expenditures (Over)/Under Cap	\$539,030,000	\$91,730,000	\$34,570,000	\$948,160,000	\$510,990,000	\$115,330,000	\$2,239,810,000	\$233,080,000	\$191,060,000	\$431,400,000	\$338,460,000	\$3,433,820,000

1115 Waiver Budget Neutrality Impact
Summary of Without Waiver and With Waiver Expenditures
Waiver Extension Reflects 11.5% PMPM W/O Waiver Trend, 11.5% PMPM With
Waiver Trend for FFS eligibles and 8.5% trend for HMO enrollees.

	Without Waiver								
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
ADC Kids	\$1,590,415,903	\$1,504,866,519	\$1,429,777,483	\$1,447,636,580	\$1,411,547,372	\$1,558,159,901	\$1,752,790,030	\$1,954,360,883	\$1,089,556,192
MA - ADC Kids	\$1,425,336,372	\$1,710,925,870	\$2,238,400,013	\$3,008,083,117	\$3,337,029,934	\$3,691,345,457	\$4,151,994,445	\$4,629,473,806	\$2,580,931,647
ADC Adults	\$847,852,294	\$742,684,510	\$643,401,958	\$554,069,704	\$525,429,736	\$568,304,280	\$652,421,227	\$727,449,668	\$405,553,190
MA - ADC Adults	\$677,746,218	\$798,981,312	\$905,156,930	\$1,028,434,863	\$1,084,003,604	\$1,174,960,298	\$1,348,816,140	\$1,503,929,996	\$838,440,973
ADC - Total	\$4,541,350,786	\$4,757,458,212	\$5,216,736,384	\$6,038,224,263	\$6,358,010,645	\$6,992,769,936	\$7,906,021,841	\$8,815,214,353	\$4,914,482,002
SSI - Blind and Disabled	\$3,527,047,195	\$3,738,454,515	\$3,915,300,057	\$4,141,564,167	\$4,693,424,316	\$5,091,455,224	\$5,838,583,605	\$6,510,020,720	\$3,629,336,551
MA SSI - Blind and Disabled	\$597,081,961	\$632,716,481	\$699,600,151	\$756,777,259	\$848,063,378	\$919,813,493	\$1,054,850,229	\$1,176,158,005	\$655,708,088
SSI - Aged	\$205,197,288	\$221,398,083	\$218,282,236	\$232,975,682	\$228,280,285	\$248,743,360	\$283,976,711	\$316,634,033	\$176,523,473
MA SSI - Aged	\$191,451,842	\$200,403,809	\$202,421,580	\$214,690,749	\$231,776,419	\$252,525,762	\$288,293,243	\$321,446,965	\$179,206,683
SSI Total	\$4,520,778,286	\$4,792,972,887	\$5,035,604,024	\$5,346,007,857	\$6,001,544,397	\$6,512,537,839	\$7,465,703,788	\$8,324,259,723	\$4,640,774,796
FHPlus Adults with Children					\$87,046,920	\$391,911,657	\$583,814,148	\$778,073,083	\$454,823,034

With Waiver	With Waiver								
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
ADC Kids	\$1,431,050,474	\$1,359,940,380	\$1,143,306,888	\$1,134,393,180	\$1,086,885,755	\$1,189,516,625	\$1,303,395,571	\$1,412,674,392	\$765,227,941
MA - ADC Kids	\$938,444,513	\$1,112,588,005	\$1,331,549,364	\$1,758,826,731	\$1,912,614,796	\$2,095,055,767	\$2,300,467,629	\$2,498,521,786	\$1,356,261,604
ADC Adults	\$817,711,135	\$817,690,196	\$745,122,969	\$657,653,709	\$634,772,866	\$678,168,053	\$743,907,398	\$809,327,338	\$440,076,873
MA - ADC Adults	\$512,071,511	\$616,350,911	\$690,501,118	\$803,816,486	\$842,477,759	\$875,586,568	\$961,291,562	\$1,048,775,197	\$571,890,058
ADC - Total	\$3,699,277,633	\$3,906,569,492	\$3,910,480,339	\$4,354,690,107	\$4,476,751,176	\$4,838,327,013	\$5,309,062,160	\$5,769,298,714	\$3,133,456,475
SSI - Blind and Disabled	\$3,097,394,146	\$3,338,710,823	\$3,473,692,427	\$3,768,362,484	\$4,408,918,289	\$4,938,453,370	\$5,510,674,442	\$6,076,786,543	\$3,348,871,697
MA SSI - Blind and Disabled	\$516,219,635	\$542,186,516	\$553,988,507	\$613,050,809	\$705,240,660	\$791,706,465	\$885,382,882	\$978,434,724	\$540,339,437
SSI Aged	\$210,027,733	\$233,772,546	\$224,671,189	\$244,014,505	\$238,799,151	\$267,633,984	\$298,825,029	\$329,717,936	\$181,810,105
MA SSI Aged	\$135,405,148	\$151,534,952	\$164,245,067	\$177,007,417	\$192,921,061	\$216,502,203	\$242,087,925	\$267,497,131	\$147,706,822
SSI Total	\$3,959,046,662	\$4,266,204,839	\$4,416,597,191	\$4,802,435,215	\$5,545,879,160	\$6,214,296,022	\$6,936,970,279	\$7,652,436,334	\$4,218,728,062
HR - Adults	\$1,026,423,307	\$1,003,511,007	\$992,083,090	\$985,531,967	\$1,013,665,364	\$1,062,176,726	\$1,171,404,693	\$1,284,220,819	\$703,664,849
MA HR Adults	\$782,870,305	\$947,980,019	\$1,041,970,992	\$1,135,602,322	\$1,168,704,469	\$1,104,908,694	\$1,206,555,298	\$1,320,707,024	\$722,544,129
HR Total	\$1,809,293,612	\$1,951,491,026	\$2,034,054,082	\$2,121,134,289	\$2,182,369,833	\$2,167,085,420	\$2,377,959,991	\$2,604,927,843	\$1,426,208,978
FHPlus Adults with Children	\$0	\$0	\$0	\$0	\$82,931,799	\$369,496,756	\$534,880,848	\$707,156,082	\$409,840,882
FHPlus Adults without Children	\$0	\$0	\$0	\$0	\$58,075,789	\$313,885,492	\$542,641,897	\$605,002,841	\$334,429,333

1115 Waiver Budget Neutrality Impact
Summary of Without Waiver and With Waiver PMPMs

Waiver Extension Reflects 11.5% PMPM W/O Waiver Trend, 11.5% PMPM With Waiver Trend for FFS eligibles and 8.5% trend for HMO enrollees.

Without Waiver									
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
ADC Kids	\$173.81	\$190.47	\$208.63	\$228.54	\$250.33	\$276.66	\$311.22	\$347.01	\$386.91
MA - ADC Kids	\$254.59	\$279.01	\$305.67	\$334.89	\$366.89	\$405.52	\$456.13	\$508.58	\$567.07
ADC Adults	\$248.40	\$261.14	\$274.43	\$288.43	\$303.12	\$328.26	\$376.85	\$420.18	\$468.50
MA - ADC Adults	\$430.84	\$452.88	\$475.96	\$500.23	\$525.72	\$569.34	\$653.59	\$728.75	\$812.56
ADC - Total	\$230.11	\$255.21	\$283.17	\$312.97	\$343.13	\$377.38	\$426.67	\$475.73	\$530.44
SSI - Blind and Disabled	\$945.27	\$995.69	\$1,048.71	\$1,104.58	\$1,163.42	\$1,261.31	\$1,446.39	\$1,612.73	\$1,798.19
MA SSI - Blind and Disabl	\$1,741.82	\$1,834.45	\$1,931.93	\$2,034.61	\$2,142.74	\$2,322.89	\$2,663.91	\$2,970.26	\$3,311.84
SSI - Aged	\$773.69	\$822.50	\$874.29	\$929.36	\$987.88	\$1,075.78	\$1,228.16	\$1,369.40	\$1,526.88
MA SSI - Aged	\$1,124.56	\$1,195.47	\$1,270.75	\$1,350.80	\$1,435.87	\$1,563.64	\$1,785.11	\$1,990.40	\$2,219.29
SSI Total	\$1,002.50	\$1,056.57	\$1,117.90	\$1,179.87	\$1,244.50	\$1,349.65	\$1,547.18	\$1,725.11	\$1,923.50
FHPlus Adults with Children					\$343.45	\$372.92	\$426.99	\$476.09	\$530.84

With Waiver									
	DY 97-98	DY 98-99	DY 99-00	DY 00-01	DY 01-02	DY 02-03	DY 03-04	DY 04-05	DY 05-06
ADC Kids	\$156.39	\$172.13	\$166.83	\$179.09	\$192.75	\$211.20	\$231.42	\$250.83	\$271.74
MA - ADC Kids	\$167.62	\$181.44	\$181.83	\$195.81	\$210.28	\$230.16	\$252.72	\$274.48	\$297.99
ADC Adults	\$239.57	\$287.51	\$317.82	\$342.35	\$366.20	\$391.72	\$429.69	\$467.48	\$508.39
MA - ADC Adults	\$325.52	\$349.36	\$363.09	\$390.98	\$408.58	\$424.28	\$465.81	\$508.20	\$554.24
ADC - Total	\$187.45	\$209.57	\$212.27	\$225.71	\$241.60	\$261.11	\$286.52	\$311.35	\$338.21
SSI - Blind and Disabled	\$830.12	\$889.22	\$930.43	\$1,005.04	\$1,092.90	\$1,223.40	\$1,365.16	\$1,505.40	\$1,659.23
MA SSI - Blind and Disabl	\$1,505.93	\$1,571.97	\$1,529.83	\$1,648.20	\$1,781.88	\$1,999.37	\$2,235.94	\$2,470.93	\$2,729.14
SSI Aged	\$791.90	\$868.47	\$899.88	\$973.39	\$1,033.40	\$1,157.48	\$1,292.37	\$1,425.98	\$1,572.60
MA SSI Aged	\$795.35	\$903.95	\$1,031.09	\$1,113.70	\$1,195.16	\$1,340.58	\$1,499.01	\$1,656.34	\$1,829.20
SSI Total	\$877.93	\$940.45	\$980.48	\$1,059.90	\$1,150.01	\$1,287.84	\$1,437.61	\$1,585.88	\$1,748.57
HR - Adults	\$547.31	\$617.70	\$688.10	\$743.47	\$787.92	\$826.41	\$911.39	\$999.16	\$1,094.95
MA HR Adults	\$543.00	\$613.01	\$638.19	\$686.25	\$690.00	\$651.57	\$711.51	\$778.82	\$852.17
HR Total	\$545.44	\$615.41	\$661.60	\$711.70	\$732.27	\$726.95	\$797.69	\$873.82	\$956.84
FHPlus Adults with Children					\$327.21	\$351.59	\$391.20	\$432.70	\$478.34
FHPlus Adults without Children					\$342.04	\$367.18	\$408.72	\$452.17	\$499.89

1115 Waiver Budget Neutrality Impact

Summary of Member Months

	DY 97-98 Actual	DY 98-99 Actual	DY 99-00 Actual	DY 00-01 Actual	DY 01-02 Projected	DY 02-03 Projected	DY 03-04 Projected	DY 04-05 Projected	DY 05-06 Projected
ADC Kids	9,150,313	7,900,806	6,853,173	6,334,281	5,638,746	5,632,060	5,632,060	5,632,060	2,816,030
MA ADC Kids	5,598,556	6,132,131	7,322,930	8,982,302	9,095,451	9,102,719	9,102,719	9,102,719	4,551,360
ADC Adults	3,413,254	2,844,009	2,344,503	1,920,985	1,733,405	1,731,266	1,731,266	1,731,266	865,633
MA - ADC Adults	1,573,081	1,764,223	1,901,750	2,055,924	2,061,941	2,063,709	2,063,709	2,063,709	1,031,855
ADC - Total	19,735,204	18,641,169	18,422,356	19,293,492	18,529,543	18,529,754	18,529,754	18,529,754	9,264,877
SSI - Blind and Disabled	3,731,259	3,754,637	3,733,444	3,749,447	4,034,162	4,036,651	4,036,651	4,036,651	2,018,326
MA SSI - Blind and Disabled	342,792	344,908	362,125	371,952	395,785	395,978	395,978	395,978	197,989
SSI Aged	265,219	269,177	249,668	250,684	231,081	231,222	231,222	231,222	115,611
MA SSI Aged	170,246	167,636	159,293	158,936	161,419	161,499	161,499	161,499	80,749
SSI Total	4,509,516	4,536,358	4,504,530	4,531,019	4,822,446	4,825,351	4,825,351	4,825,351	2,412,675
HR Adults	1,875,380	1,624,591	1,441,772	1,325,586	1,286,501	1,285,296	1,285,296	1,285,296	642,648
MA HR Adults	1,441,741	1,546,447	1,632,696	1,654,796	1,693,781	1,695,772	1,695,772	1,695,772	847,886
HR Total	3,317,121	3,171,038	3,074,468	2,980,382	2,980,282	2,981,068	2,981,068	2,981,068	1,490,534
FHPlus Adults with Children					253,449	1,050,938	1,367,292	1,634,302	856,800
FHPlus Adults without Children					169,790	854,850	1,327,656	1,338,000	669,000